# UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

KING COUNTY, WASHINGTON, et al., Individually and on Behalf of All Others Similarly Situated,

Plaintiffs,

VS.

IKB DEUTSCHE INDUSTRIEBANK AG, et al.,

Defendants.

Master File No. 1:09-cv-08387-SAS (Consolidated)

# **CLASS ACTION**

CORRECTED DECLARATION OF DANIEL S. DROSMAN IN SUPPORT OF PLAINTIFFS' OMNIBUS MEMORANDUM OF LAW IN SUPPORT OF THEIR OPPOSITION TO DEFENDANTS' MOTIONS FOR SUMMARY JUDGMENT PURSUANT TO FEDERAL RULE OF CIVIL PROCEDURE 56(c) AND PLAINTIFFS' RESPONSE TO DEFENDANT FITCH, INC.'S STATEMENT OF UNDISPUTED MATERIAL FACTS PURSUANT TO LOCAL RULE 56.1

- I, Daniel S. Drosman, declare as follows:
- 1. I am an attorney admitted to practice before this Court, and I am a partner of the law firm of Robbins Geller Rudman & Dowd LLP, counsel for plaintiffs in the above-captioned matter. I submit this Declaration in support of Plaintiffs' Omnibus Memorandum of Law in Support of Their Opposition to Defendants' Motions for Summary Judgment Pursuant to Federal Rule of Civil Procedure 56(c) and Plaintiffs' Response to Defendant Fitch, Inc.'s Statement of Undisputed Material Facts Pursuant to Local Rule 56.1.
  - 2. Attached are true and correct copies of the following documents:

TAB	<b>Document Description</b>
Tab 1	Plaintiffs' Deposition Exhibit 280 (Guadagnuolo, November 17, 2011)
Tab 2	Abu Dhabi Commercial Bank v. Morgan Stanley, No. 1:08-cv-750-SAS (S.D.N.Y.) ("ADCB") Plaintiffs' Deposition Exhibit 689 (November 1, 2011)
Tab 3	ADCB Plaintiffs' Deposition Exhibit 339 (Tabe, March 9, 2011)
Tab 4	Plaintiffs' Deposition Exhibit 350 (Katugampola, November 18, 2011)
Tab 5	S&P-IKB 0013239
Tab 6	S&P-IKB 0082508-09
Tab 7	MDYS RHNB 012021-27
Tab 8	Plaintiffs' Deposition Exhibit 278 (Guadagnuolo, November 17, 2011)
Tab 9	Excerpts from the Deposition Transcript of Lapo Guadagnuolo taken on November 17, 2011
Tab 10	S&P-IKB 0061760-62
Tab 11	Excerpts from the Deposition Transcript of David Rosa taken on November 29, 2011
Tab 12	Plaintiffs' Deposition Exhibit 378 (Rosa, November 29, 2011)
Tab 13	FITCH-RHINE 00047584-601
Tab 14	Excerpts from the Deposition Transcript of Glenn Moore taken on November 30, 2011
Tab 15	S&P-ADCB 0001196-321
Tab 16	ADCB Plaintiffs' Deposition Exhibit 279 (Drennan, March 1, 2011)

Tab 17	MS_000432583
Tab 18	Excerpts from the Deposition Transcript of Gregg Drennan taken on March 1, 2011 in <i>ADCB</i>
Tab 19	ADCB Plaintiffs' Deposition Exhibit 256 (Drennan, February 28, 2011)
Tab 20	Plaintiffs' Deposition Exhibit 259 (Ryan, November 16, 2011)
Tab 21	S&P-IKB 0009486-87
Tab 22	Plaintiffs' Deposition Exhibit 435 (Wallis, November 30, 2011
Tab 23	Plaintiffs' Deposition Exhibit 227 (Rhode, November 10, 2011)
Tab 24	Plaintiffs' Deposition Exhibit 349 (Katugampola, November 18, 2011)
Tab 25	BNYM10185368-549
Tab 26	S&P-IKB 0086474-565
Tab 27	Plaintiffs' Deposition Exhibit 383 (Rosa, November 29, 2011)
Tab 28	Plaintiffs' Deposition Exhibit 379 (Rosa, November 29, 2011)
Tab 29	IKB000143917-18
Tab 30	IKB000850390-92
Tab 31	IKB000850437-39
Tab 32	IKB000916050-53
Tab 33	Plaintiffs' Deposition Exhibit 392 (Moore, November 30, 2011)
Tab 34	Plaintiffs' Deposition Exhibit 393 (Moore, November 30, 2011)
Tab 35	Plaintiffs' Deposition Exhibit 394 (Moore, November 30, 2011)
Tab 36	S&P-IKB 0030071-75
Tab 37	Plaintiffs' Deposition Exhibit 387 (Moore, November 30, 2011)
Tab 38	MDYS RHNB 009774-75
Tab 39	Excerpts from the Exhibits to the U.S. Senate Permanent Subcommittee on Investigations, entitled <i>Wall Street and the Financial Crisis: Anatomy of a Financial Collapse</i>
Tab 40	ADCB Plaintiffs' Deposition Exhibit 432 (Fons, April 18, 2011)
Tab 41	ADCB Plaintiffs' Deposition Exhibit 561 (Wong, April 25, 2011)
Tab 42	Plaintiffs' Deposition Exhibit 157 (Anderberg, October 21, 2011)
Tab 43	S&P-IKB 0775675-77
Tab 44	Plaintiffs' Deposition Exhibit 159 (Anderberg, October 21, 2011)
Tab 45	ADCB Plaintiffs' Deposition Exhibit 148 (Guadagnuolo, January 13, 2011)

Tab 46	ADCB Plaintiffs' Deposition Exhibit 298 (Inglis, March 4, 2011)
Tab 47	ADCB Plaintiffs' Deposition Exhibit 190 (Gilkes, January 20, 2011)
Tab 48	ADCB Plaintiffs' Deposition Exhibit 195 (Gilkes, January 20, 2011)
Tab 49	ADCB Plaintiffs' Deposition Exhibit 189 (Gilkes, January 20, 2011)
Tab 50	ADCB Plaintiffs' Deposition Exhibit 154 (Guadagnuolo, January 13, 2011)
Tab 51	Excerpts from the Deposition Transcript of Frank L. Raiter taken on October 29, 2010 in <i>ADCB</i>
Tab 52	ADCB Plaintiffs' Deposition Exhibit 501 (Jordan, April 20, 2011)
Tab 53	S&P-ADCB 0384639
Tab 54	Plaintiffs' Deposition Exhibit 290 (Guadagnuolo, November 17, 2011)
Tab 55	Plaintiffs' Deposition Exhibit 292 (Guadagnuolo, November 17, 2011)
Tab 56	ADCB Plaintiffs' Deposition Exhibit 417 (Gillis, April 15, 2011)
Tab 57	Excerpts from the Deposition Transcript of Thomas Gillis taken on April 15, 2011 in <i>ADCB</i>
Tab 58	ADCB Plaintiffs' Deposition Exhibit 351 (Khakee, March 16, 2011)
Tab 59	S&P-IKB 1967291
Tab 60	ADCB Plaintiffs' Deposition Exhibit 210 (Gilkes, January 21, 2011)
Tab 61	Excerpts from the Deposition Transcript of Kai Gilkes taken on January 21, 2011
Tab 62	S&P-IKB 1886349-89
Tab 63	S&P-IKB 0745395-410
Tab 64	Plaintiffs' Deposition Exhibit 293 (Guadagnuolo, November 17, 2011)
Tab 65	ADCB Plaintiffs' Deposition Exhibit 49 (Rosa, November 18, 2009)
Tab 66	ADCB Plaintiffs' Deposition Exhibit 598 (Clarkson, May 26, 2011)
Tab 67	ADCB Plaintiffs' Deposition Exhibit 266 (Drennan, March 1, 2011)
Tab 68	Plaintiffs' Deposition Exhibit 375 (Rosa, November 29, 2011)
Tab 69	ADCB Plaintiffs' Deposition Exhibit 343 (Michalek, March 12, 2011)
Tab 70	Excerpts from the Deposition Transcript of Richard Michalek taken on March 12, 2011 in <i>ADCB</i>
Tab 71	William J. Harrington, "Comment on SEC Proposed Rules for Nationally Recognized Statistical Rating Organizations", File No. S7-18-11 (August 8, 2011)
Tab 72	MDYS ADCB 294189-95

Tab 73	ADCB Plaintiffs' Deposition Exhibit 587 (Clarkson, May 26, 2011)
Tab 74	MDYS ADCB 294196-97
Tab 75	MDYS ADCB 080002-03
Tab 76	Plaintiffs' Deposition Exhibit 374 (Rosa, November 29, 2011)
Tab 77	MS_RHI_000094757
Tab 78	Plaintiffs' Deposition Exhibit 86, 86-T (Bauknecht, October 13, 2011)
Tab 79	Plaintiffs' Deposition Exhibit 44 (De Haan, September 22, 2011)
Tab 80	Excerpts from the Deposition Transcript of Klauss Bauknecht taken on October 13, 2011
Tab 81	ADCB Plaintiffs' Deposition Exhibit 324 (Tabe, March 9, 2011)
Tab 82	MDYS ADCB 1096861
Tab 83	MDYS ADCB 1235283
Tab 84	ADCB Plaintiffs' Deposition Exhibit 592 (Clarkson, May 26, 2011)
Tab 85	MDYS ADCB 368265
Tab 86	Plaintiffs' Deposition Exhibit 373 (Rosa, November 29, 2011)
Tab 87	ADCB Plaintiffs' Deposition Exhibit 382 (Rosa, April 5, 2011)
Tab 88	Excerpts from the Deposition Transcript of David Rosa taken on November 18, 2009 in <i>ADCB</i>
Tab 89	Excerpts from the Deposition Transcript of Henry Tabe taken on March 8, 2011 in <i>ADCB</i>
Tab 90	ADCB Plaintiffs' Deposition Exhibit 581 (Clarkson, May 26, 2011)
Tab 91	Plaintiffs' Deposition Exhibit 382 (Rosa, November 29, 2011)
Tab 92	Plaintiffs' Deposition Exhibit 384 (Rosa, November 29, 2011)
Tab 93	FITCH-RHINE 00128249-56
Tab 94	Plaintiffs' Deposition Exhibit 458 (Bund, March 21, 2012)
Tab 95	Excerpts from the Deposition Transcript of Stefan Bund taken on March 21, 2012
Tab 96	FITCH-RHINE 00015585
Tab 97	Plaintiffs' Deposition Exhibit 391 (Moore, November 30, 2011)
Tab 98	FITCH-RHINE 00052882-83
Tab 99	FITCH-RHINE 00133737-49
Tab 100	Plaintiffs' Deposition Exhibit 403 (Moore, November 30, 2011)
Tab 101	Plaintiffs' Deposition Exhibit 398 (Moore, November 30, 2011)

Tab 102	FITCH-RHINE 00001460-77
Tab 103	ADCB Plaintiffs' Deposition Exhibit 75 (Raiter, October, 28, 2010)
Tab 104	Excerpts from the Deposition Transcript of Lapo Guadagnuolo taken on November 14, 2011
Tab 105	Excerpts from the Deposition Transcript of Kevin Duignan taken on November 21, 2011
Tab 106	Excerpts from the Deposition Transcript of David Rosa taken on April 6, 2011 in <i>ADCB</i>
Tab 107	Transcript of The McGraw Hill Companies at Credit Suisse Global Services Conference (Main Session) (March 13, 2006)
Tab 108	ADCB Plaintiffs' Deposition Exhibit 77 (Raiter, October 28, 2010)
Tab 109	Excerpts from the Deposition Transcript of Frank L. Raiter taken on October 28, 2010
Tab 110	ADCB Plaintiffs' Deposition Exhibit 84 (Raiter, October 28, 2010)
Tab 111	ADCB Plaintiffs' Deposition Exhibit 201 (Gilkes, January 21, 2011)
Tab 112	ADCB Plaintiffs' Deposition Exhibit 203 (Gilkes, January 21, 2011)
Tab 113	ADCB Plaintiffs' Deposition Exhibit 211 (Gilkes, January 21, 2011)
Tab 114	ADCB Plaintiffs' Deposition Exhibit 561(Wong, April 25, 2011)
Tab 115	ADCB Plaintiffs' Deposition Exhibit 579 (Scott, April 28, 2011)
Tab 116	ADCB Plaintiffs' Deposition Exhibit 241 (Kolchinsky, February 18, 2011)
Tab 117	ADCB Plaintiffs' Deposition Exhibit 179 (Guadagnuolo, January 14, 2011)
Tab 118	ADCB Plaintiffs' Deposition Exhibit 313 (Tabe, March 8, 2011)
Tab 119	ADCB Plaintiffs' Deposition Exhibit 600 (Clarkson, May 26, 2011)
Tab 120	Excerpts from the Deposition Transcript of Brian Clarkson taken on May 26, 2011
Tab 121	Excerpts from the Deposition Transcript of Ilya Kolchinsky taken on February 28, 2011 in <i>ADCB</i>
Tab 122	ADCB Plaintiffs' Deposition Exhibit 434 (Fons, April 18, 2011)
Tab 123	Excerpts from the Deposition Transcript of Jerome S. Fons taken on April 18, 2011 in <i>ADCB</i>
Tab 124	ADCB Plaintiffs' Deposition Exhibit 608 (Kornfield, June 28, 2011)
Tab 125	ADCB Plaintiffs' Deposition Exhibit 591 (Clarkson, May 26, 2011)
Tab 126	ADCB Plaintiffs' Deposition Exhibit 558 (Wong, April 25, 2011)

Tab 127	IKB002487146-48
Tab 128	IKB002487149-57
Tab 129	IKB002487158-59
Tab 130	S&P-ADCB 0532876-91
Tab 131	ADCB Plaintiffs' Deposition Exhibit 676 (Kirnon, July 28, 2011)
Tab 132	MDYS ADCB 992546-626
Tab 133	CRDT_RTG_EXH_0000015-25
Tab 134	MS_000007149
Tab 135	FI-ABUDHABI 00038-62
Tab 136	Plaintiffs' Deposition Exhibit 355 (Duignan, November 21, 2011)
Tab 137	Plaintiffs' Deposition Exhibit 451 (Ottley, November 30, 2011)
Tab 138	Plaintiffs' Deposition Exhibit 449 (Bund, March 21, 2012)
Tab 139	Defendants' Deposition Exhibit 34 (Pinkus, October 20, 2011)
Tab 140	FITCH-RHINE 00057448-49
Tab 141	Excerpts from the Deposition Transcript of Kai Gilkes taken on January 20, 2011 in <i>ADCB</i>
Tab 142	ADCB Plaintiffs' Deposition Exhibit 588 (Clarkson, May 26, 2011)
Tab 143	Excerpts from the Deposition Transcript of Navindu Katugampola taken on November 17, 2011
Tab 144	MS_RHI_000258501-03
Tab 145	MS_RHI_000306687-88
Tab 146	MS_RHI_000098527
Tab 147	Plaintiffs' Deposition Exhibit 411 (Drennan, December 8, 2011)
Tab 148	S&P-IKB 0012243-44
Tab 149	IKB 000657089-90
Tab 150	Plaintiffs' Deposition Exhibit 421 (Drennan, December 8, 2011)
Tab 151	Plaintiffs' Deposition Exhibit 346 (Katugampola, November 18, 2011)
Tab 152	Plaintiffs' Deposition Exhibit 428 (Drennan, December 8, 2011)
Tab 153	Plaintiffs' Deposition Exhibit 429 (Drennan, December 8, 2011)
Tab 154	MDYS RHNB 041361-534
Tab 155	S&P-IKB 0050282-461

Tab 156	MS_RHI_000249219-20
Tab 157	MS_RHI_000296478-79
Tab 158	Plaintiffs' Deposition Exhibit 338 (Katugampola, November 17, 2011)
Tab 159	MS_000622539-41
Tab 160	MS_RHI_000016356-59
Tab 161	Plaintiffs' Deposition Exhibit 337 (Katugampola, November 17, 2011)
Tab 162	MS_000748972-74
Tab 163	Plaintiffs' Deposition Exhibit 339 (Katugampola, November 17, 2011)
Tab 164	Plaintiffs' Deposition Exhibit 339A (Katugampola, November 18, 2011)
Tab 165	Plaintiffs' Deposition Exhibit 340 (Katugampola, November 17, 2011)
Tab 166	Plaintiffs' Deposition Exhibit 345 (Katugampola, November 18, 2011)
Tab 167	MS_RHI_003065396-98
Tab 168	MS_RHI_001258129-57
Tab 169	MS_RHI_000592703-48
Tab 170	Excerpts from the Deposition Transcript of Anton Peterson taken on November 22, 2011 in <i>ADCB</i>
Tab 171	MS_RHI_000658064
Tab 172	MS_RHI_001756493-95
Tab 173	ADCB Plaintiffs' Deposition Exhibit 700 (Peterson, November 22, 2011)
Tab 174	ADCB Plaintiffs' Deposition Exhibit 701 (Peterson, November 22, 2011)
Tab 175	Exhibit 6 to September 7, 2011 letter to the Court in ADCB
Tab 176	ADCB Plaintiffs' Deposition Exhibit 699 (Peterson, November 22, 2011)
Tab 177	MS_001352153
Tab 178	Exhibit 7 to September 7, 2011 letter to the Court in ADCB
Tab 179	MS_RHI_001323257-59
Tab 180	MS_RHI_001323157-80
Tab 181	MS_RHI_001323152
Tab 182	MS_RHI_001756460-62
Tab 183	MS_RHI_001323156
Tab 184	MS_RHI_003062315-16
Tab 185	MS_RHI_001422949-53
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Tab 186 MS_RHI_000557922 Tab 187 MS_RHI_002427430-31 Tab 188 MS_RHI_002434427 Tab 189 MS_RHI_002431472 Tab 190 MS_RHI_001402826 Tab 191 MS_RHI_002403505 Tab 192 MS_RHI_000904091-96 Tab 193 MS_RHI_003110795-98 Tab 195 MS_RHI_002048847 Tab 196 MS_RHI_002648279 Tab 197 MS_RHI_000658064 Tab 198 MS_RHI_000658064 Tab 199 MS_RHI_000658071 Tab 199 MS_RHI_000658071 Tab 200 MS_RHI_002648661-62 Tab 200 MS_RHI_002648661-62 Tab 201 MS_RHI_002739508 Tab 202 MS_RHI_002739508 Tab 203 MS_RHI_002758176-77 Tab 204 MS_RHI_00276807-7 Tab 205 MS_RHI_000758176-77 Tab 206 MS_RHI_000467092 Tab 207 MS_RHI_001770016-17 Tab 208 MS_RHI_003755095-96 Tab 209 MS_RHI_002755287 Tab 210 MS_RHI_002739514-18 Tab 211 MS_RHI_00270554 Tab 212 MS_RHI_0027054 Tab 213 MS_RHI_00270594 Tab 214 MS_RHI_002562593-96 Tab 215 MS_RHI_002569995-99 Tab 216 MS_RHI_002573087-91		T
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I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct. Executed this 10th day of October, 2012, at San Diego, California.

s/ DANIEL S. DROSMAN
DANIEL S. DROSMAN

# CERTIFICATE OF SERVICE

I hereby certify that on October 10, 2012, I authorized the electronic filing of the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the e-mail addresses denoted on the attached Electronic Mail Notice List, and I hereby certify that I caused to be mailed the foregoing document or paper via the United States Postal Service to the non-CM/ECF participants indicated on the attached Manual Notice List.

I certify under penalty of perjury under the laws of the United States of America that the foregoing is true and correct. Executed on October 10, 2012.

s/ DANIEL S. DROSMAN
DANIEL S. DROSMAN

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